

Data Security and Protection Toolkit



**2018-19 Findings and what new for
19/20**

Background

- The Data Security and Protection Toolkit (DSPT) was developed in response to the 2016 NDG review of Data Security, Consent, and Opt-Outs (and the subsequent Government response).
- The DSPT is an online self-assessment tool that allows organisations that process health and care data to measure their performance against the National Data Guardian's 10 data security standards. The purpose of the system is to raise standards and provide confidence that health and care organisations are protecting the data they hold.

Data Security and Protection Toolkit in Social Care

53 development sprints completed



32,900
Registered organisations



Active Users

Entry Level: 1,400



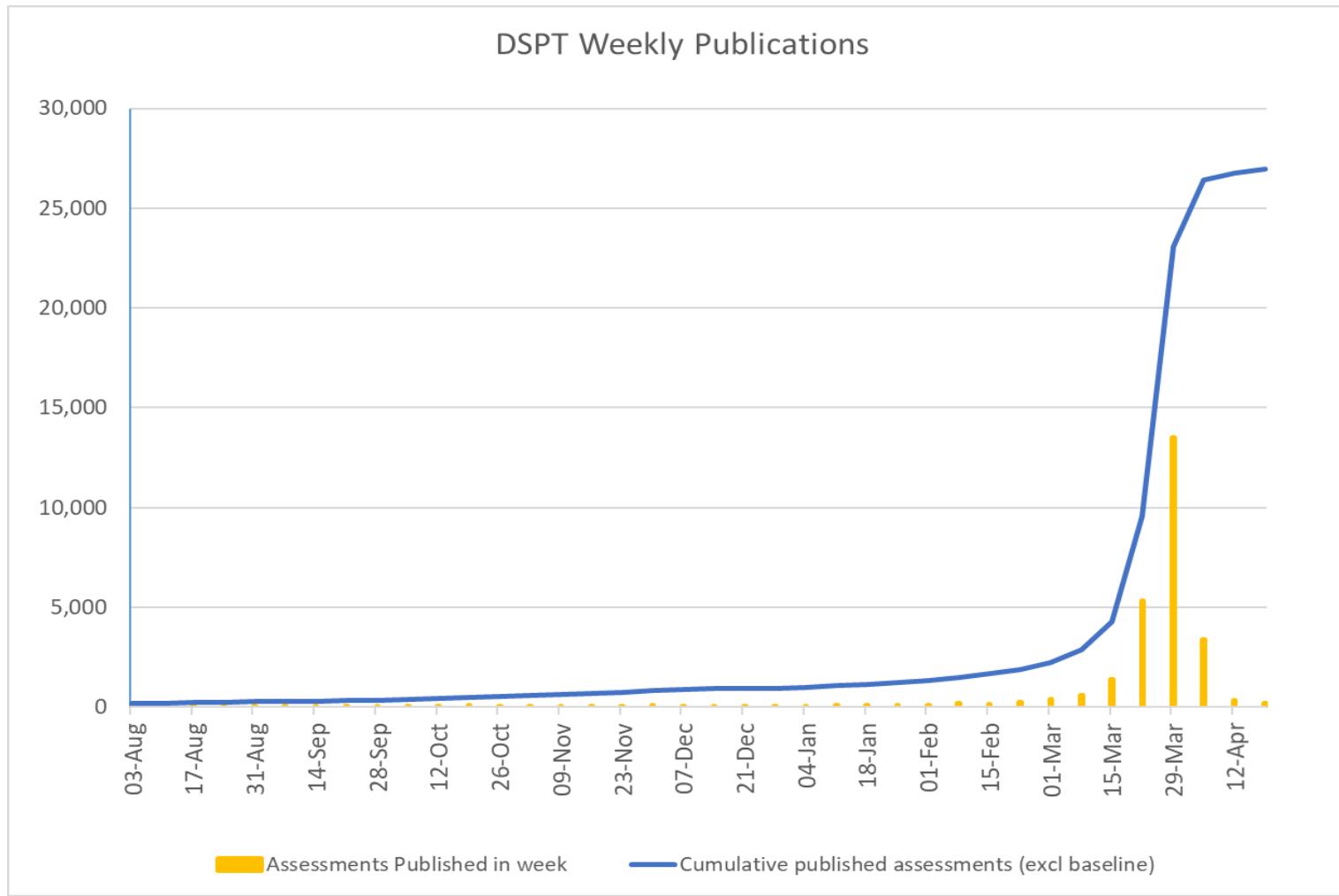
Takes in account other recognised Certifications and systems

Publications: 27,900

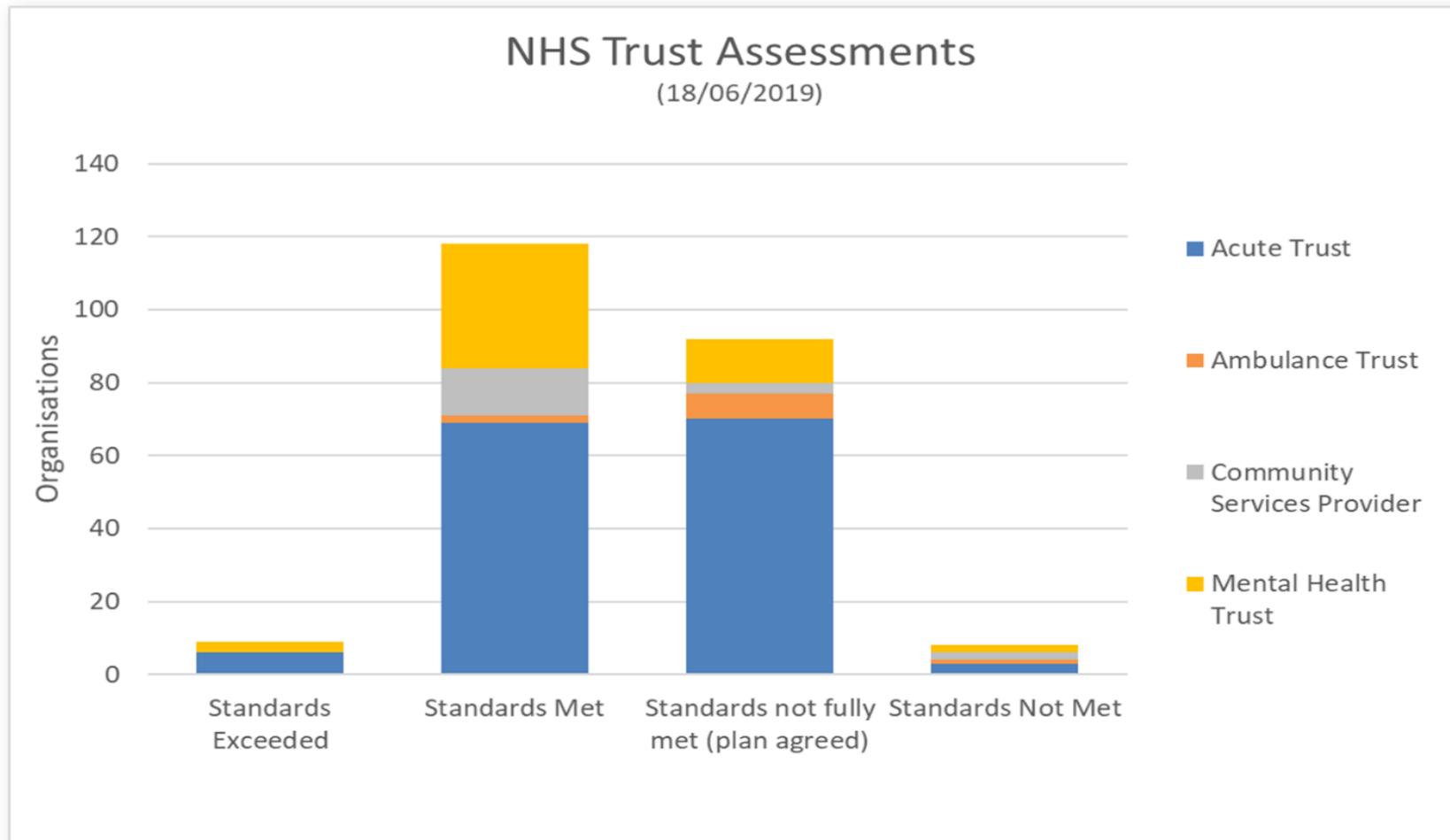


110 Incidents Reported to ICO per month (approx.)

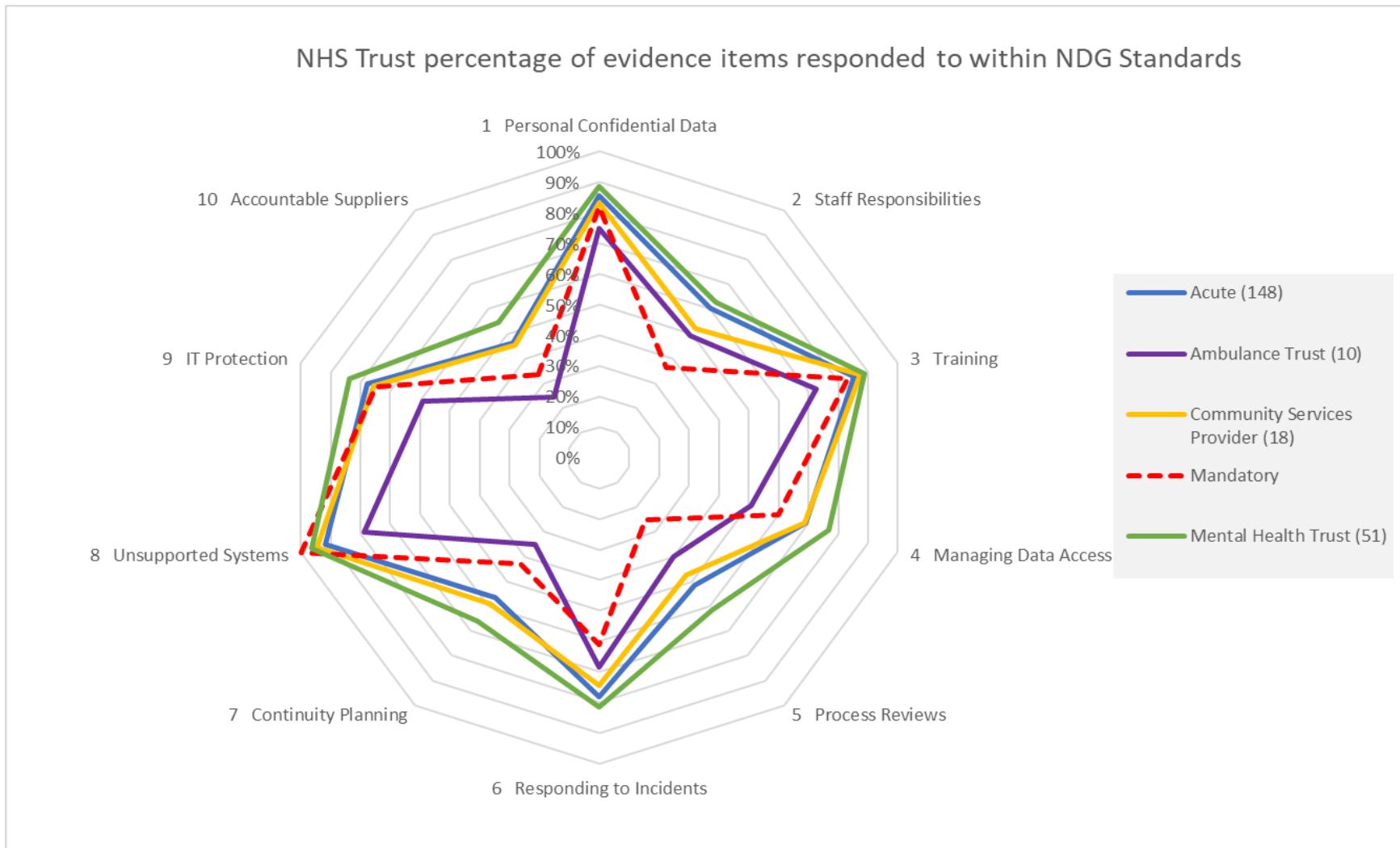
Take-up



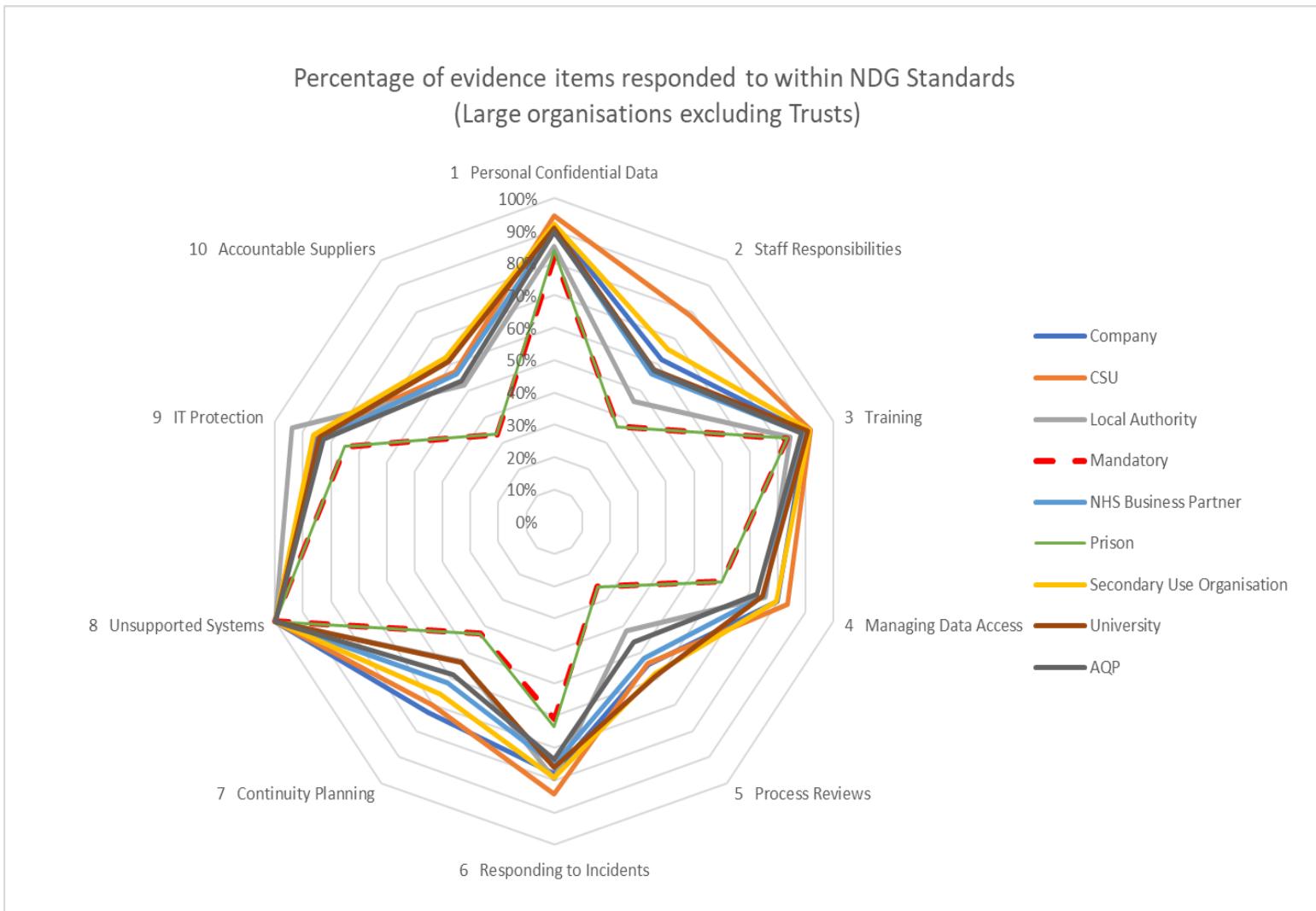
NHS Trusts



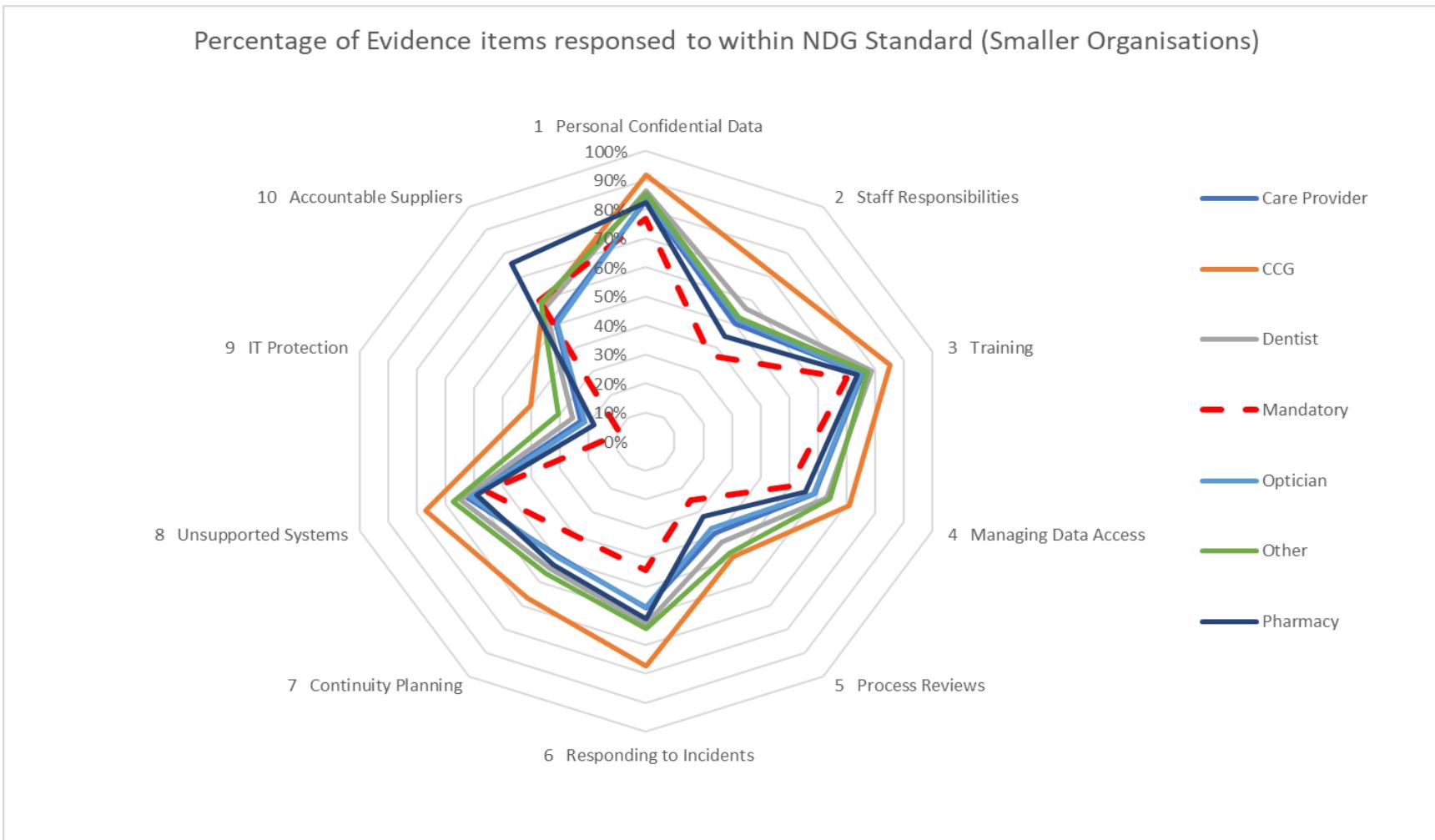
NHS Trusts



Large Orgs excluding NHS Trusts



Other Organisations



Reviews

- Your DSP Toolkit will be reviewed by NHS Digital if:
- Someone at your organisation ask for data from NHS Digital through DARs
- Requires access to NHS Digital Demographics data
- Your organisation is part of a Section 251 application
- You are an NHS Trust with a CQC inspection which is covering Data Security.

Improvement plans and Baseline

- Thanks to everyone for working with us on Improvement plans
- Now 19/20 DSP Toolkit is launched any required changes to status of toolkit will be done by NHS Digital in the back end.
- Category 1 and 2 NHS organisations are also required to complete an interim assessment during the year – the deadline for the interim submission will be 31 October each year.
- Asked to provide your final ‘Improvement plan’ with your baseline submission.
- Comms out later in the month

What has changed for 2019/2020

Category	Organisation Type
Category 1 AKA 'Trusts'	Acute, Ambulance Trust, Community Services, Mental Health Trust
Category 2	Arms Length Bodies, CSU, CCG , NHS Digital
Category 3 AKA 'others'	AQP Clinical Services AQP Non Clinical Services, Care Home, Charity/Hospice, Company, Dentist (NHS), Dentist (private), Domiciliary Care Organisation, Local Authority, NHS Business Partner, Optician, Pharmacy, Prison , Researcher / Department, Secondary Use Organisation, University
Category 4	GP

Evidence items for 2019/2020 (2018/19 in red)

	Category 1 (AKA Trusts)	Category 2 (AKA ALBs, CCGs, CSUs)	Category 3 (AKA "others")	Category 4 (AKA GP)
Mandatory Evidence items 19-20	116 (100)	106	56 (70)	42 (52)
Total Evidence Items 19-20	179 (149)	157	115 (131)	61 (91)
Entry Level Evidence Items 19-20			14 (16)	

About the 2019/2020 DSPT

- Information on the 2019/2020 toolkit available at:
<https://www.dsptoolkit.nhs.uk/News/51>
- Supporting documents, definitions and categories
- Entry level requirements and exemptions included on the DSPT Requirements spreadsheet
- Details of the changes and what the headings mean
- Bulk email to go out today to all toolkit Administrators advising that the new toolkit for 2019/2020 is now available

DSP Toolkit 2019-20

- The Data Security and Protection Toolkit Standard (DSPT) has been reviewed for 2019-20. The new standard builds on the work and learning from 2018-19.
- Changes have been made in order to:
 - respond to lessons learned and direct feedback from users following the first year of the DSPT
 - improve the targeting of requirements to different categories of organisations
 - rationalise some of the General Data Protection Regulation (GDPR) evidence items which are now considered “business as usual”

DSP Toolkit 2019-20 – New Areas for NHS Trusts

- Board and Risk Management
- National Data Opt out
- Data Quality
- Access control, logging and passwords
- Incidents
- Technical
- Back up
- Supplier certification

Transition to 19/20

- Where evidence items are not materially changed – existing responses will be carried forward. Assertions must be re-confirmed prior to publishing an assessment against the new standard.
- Once the new standard goes live you will not be able to publish against the old standard
- Publishing against 19/20 following release

Help and Guidance

- Spreadsheet view and change log

<https://www.dsptoolkit.nhs.uk/News/51>

- Information Standard documentation

<https://digital.nhs.uk/data-and-information/information-standards/information-standards-and-data-collections-including-extractions/publications-and-notifications/standards-and-collections/dcb0086-data-security-and-protection-toolkit>

- Templates, examples and manual

<https://www.dsptoolkit.nhs.uk/Help/3>

Still to do

- CQC Inspection
- Push into social care and optometry
- Audit regime
- Additional guidance
- Reviews

Cyber Security Support

- To help your organisation improve its response and resilience to cyber security incidents, we've developed the cyber security support model, a free service tailored to your organisation's needs and priorities
- <https://digital.nhs.uk/services/data-security-centre/data-security-centre-cyber-security-support-model>
- [short video](#)
- Includes SIRO Training

